

1713

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to:
MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
Dated: March 29, 2007 Signature: James J. Napoli
(James J. Napoli)

Docket No.: 29827/41952
(PATENT—NO FEE)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Dieter Hermeling et al.

Application No.: 10/576,892

Confirmation No.: 7392

Filed: April 24, 2006

Art Unit: 1713

For: (Meth)Acrylic Acid Esters of Unsaturated
Amino Alcohols and Preparation Thereof

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to their duty of disclosure under 37 C.F.R. §1.56, applicants hereby bring to the examiner's attention patent documents that may be material to the examination of the above-identified application. Therefore, in compliance with 37 C.F.R. §1.97 and §1.98, applicants enclose a completed Form PTO/SB/08 listing the possibly pertinent patent documents, and a copy of each non-U.S. patent document.

This Information Disclosure Statement is submitted more than three months after the filing date of the above-identified application, and, to applicants' knowledge, before the mailing date of a first Office Action on the merits. Therefore, under 37 C.F.R. §1.97(b), this Information Disclosure Statement shall be considered by the Patent Office.

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The Commissioner, however, is hereby authorized to charge any fee which may be required during the pendency of this application under 37 C.F.R. 1.16 or 37 C.F.R. 1.17 to Deposit Account No. 13-2855. A duplicate copy of this Transmittal is enclosed herewith.

We also enclose a copy of a PCT Search Report for the convenience of the examiner.

Please note the following:

DE 196 46 484 is equivalent to U.S. Patent No. 5,837,789;

WO 02/32964 is equivalent to U.S. Patent Publication No. 2003/14901;

EP 0 223 063 is equivalent to U.S. Patent No. 4,769,427;

DE 199 55 861 is equivalent to U.S. Patent No. 199 55 861 and U.S. Patent Publication No. 2004/0186229;

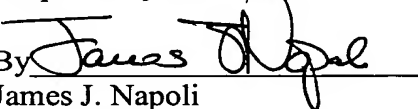
DE 37 16 543 is equivalent to U.S. Patent No. 4,897,220;

DE 38 18 426 is equivalent to U.S. Patent No. 5,104,951; and

DE 103 58 372 is equivalent to U.S. Patent Publication No. 2006/0235141.

Dated: March 29, 2007

Respectfully submitted,

By 
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PTO/SB/08A/B (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/576,892-Conf. #7392	
			Filing Date	April 24, 2006	
			First Named Inventor	Dieter Hermeling	
			Art Unit	1713	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	29827/41952

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-4,796,427	01-10-1989	Zeyher	
		US-4,897,220	01-30-1990	Trieselt et al.	
		US-5,104,951	04-14-1992	Seelmann-Eggebert et al.	
		US-5,837,789	11-17-1998	Stockhausen et al.	
		US-2004/0014901	01-22-2004	Heide et al.	
		US-6,710,141	03-23-2004	Heide et al.	
		US-2004/0186229	09-23-2004	Heide et al.	
		US-2006/0235141	10-19-2006	Riegel et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		EP-0 223 063	05-27-1987	BASF Ag		
		DE-37 16 543	11-24-1988	BASF Ag		
		DE-38 18 426	12-07-1989	BASF Ag		
		WO-90/15830	12-27-1990	Weyerhaeuser Co		
		DE-196 46 484	05-22-1997	Stockhausen Chem Fab GmbH		
		DE-199 55 861	05-23-2001	BASF Ag		
		WO-02/32964	04-25-2002	BASF Ag et al.		
		DE-103 58 372	10-14-2004	BASF Ag		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		International Search Report for PCT/EP2004/013064 dated February 25, 2005.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner Signature		Date Considered	
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